

To: Distribution

From: Margaret J. Fonner
Director of Sponsored Programs

Date: March 30, 2015

RE: Annual Report of Sponsored Programs Activity – Fiscal Year 2014

Attached please find the Annual Report of Sponsored Programs Activity for the Fiscal Year (FY) ending June 30, 2014. This report presents grant and contract activity through the Office of Sponsored Programs and examines the amount of actual expenditures (both direct and indirect) incurred during the fiscal year. Also included is a review of proposals submitted and awards received, based on total dollar value of the proposal and award.

During FY 2014, grant and contract expenditures were down 10% from \$18.6 million in FY 2013 to \$16.7 million (see Chart 1 – Annual Total Expenditures Overtime with Percent of Change). I attribute the FY 2014 decrease in expenditures to three primary circumstances:

- (1) The program transitions that occur when senior faculty researchers retire or leave VIMS and new faculty come on board and begin to build new programs. We lost nine faculty/ researchers during this period (John Milliman; Bob Diaz; Howard Kator; Steve Kaattari; Mark Patterson; Kam Tang; Tracey Sutton; Pete Van Veld; and Carl Hobbs).
- (2) The significant impact that one-time funding in any given year can have on the overall expenditure fluctuations. In FY 2013 we had a number of one-time infusions that did not continue in FY 2014. This accounts for \$1.1 million less expenditures in FY 2014:
 - a. NSF Major Research Instrumentation Grant: \$450K expended in FY 2013 (with an additional \$135K expended in FY 2014)
 - b. NEAMAP had an infusion of \$550K from New York (all expended in FY 2013)
 - c. Joseph Zhang's Tsunami Work - \$116K from Oregon (all expended in FY 2013)
 - d. MD DNR TMDL Projects - \$140K (all expended in FY 2013)
- (3) And finally, to a lesser degree, Federal Sequestration and Spending Reductions, which account for \$440K in less expenditures in FY 2014:
 - a. Sea Grant - \$314K
 - b. Scallop Program - \$125K

Other Key Points:

- While the standard measurement of sponsored programs activity is based on expenditures, these annual fluctuations are directly linked to the number and value of active awards and the funds they make available. In FY 2013 VIMS received 119 new awards, valued at \$16.5 million and in FY 2014 the number of new awards rose to 125; however, the total value was only \$14.6 million.
- The number of proposal submitted during FY 2014 increased by 12.5% over FY 2013 to 279 with a total value requested of \$64.1 million. 49.8% or 139 of the proposals submitted by VIMS PIs during FY 2014 have been funded to date. (See Chart 2 – VIMS Grant and Contract Proposals Submitted vs. Awards Received.)
- The top five funding sources for FY 2014 were (see Chart 3 – Expenditures by Funding Source):

National Oceanic & Atmospheric Administration	\$6.40 million (38.24%)
National Science Foundation	\$3.05 million (18.22%)
Department of Interior	\$1.62 million (9.69%)
Commonwealth of Virginia (Agency Contracts)	\$1.43 million (8.56%)
Environmental Protection Agency	\$1.29 million (7.73%)

- Sponsored Program expenditures by Department for FY 2014 were (see Chart 4 – Expenditures by Department/Center):

Academic Departments

Fisheries Science	\$ 4,618,788
Biological Sciences	\$ 4,423,228
Physical Sciences	\$ 1,962,483
Aquatic Health Sciences	\$ 835,742

Research Centers

Center for Coastal Resources Management	\$ 1,488,240
Marine Advisory Services	\$ 1,152,993
Virginia Sea Grant	\$ 932,909
Chesapeake Bay National Estuarine Research Reserve	\$ 776,762
Eastern Shore Laboratory	\$ 248,674
Aquaculture Genetics and Breeding Technology Center	\$ 234,601

- IDC Recovery decreased significantly in FY 2014 to the second lowest recovery total in the last decade at \$3.17 million. The decreased recovery tracks, as expected, with decreased expenditures. (See Chart 5 – VIMS Indirect Costs Recoveries and Chart 6 – Indirect Cost Recoveries by Department.) VIMS effective IDC rate for FY 2014 was 25.42%.

Please do not hesitate to contact me at 684-7029 or mfonner@vims.edu should you have any questions concerning the contents of this report.

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Chart 1

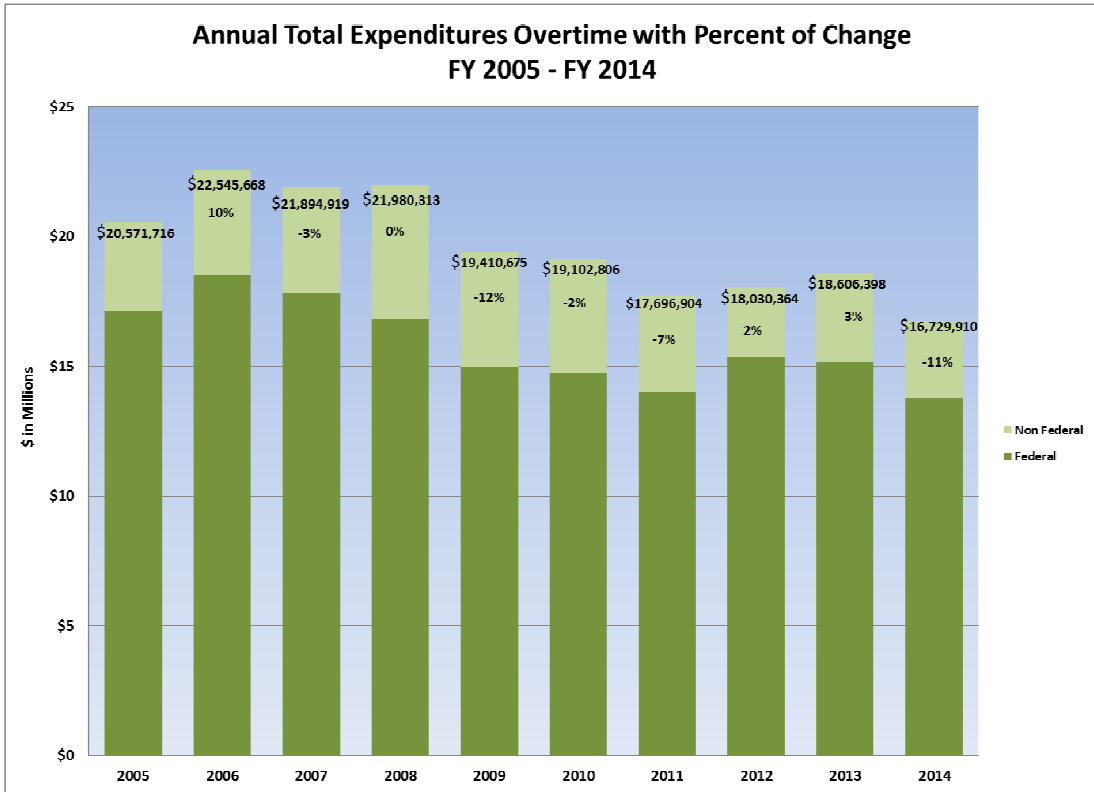


Chart 2

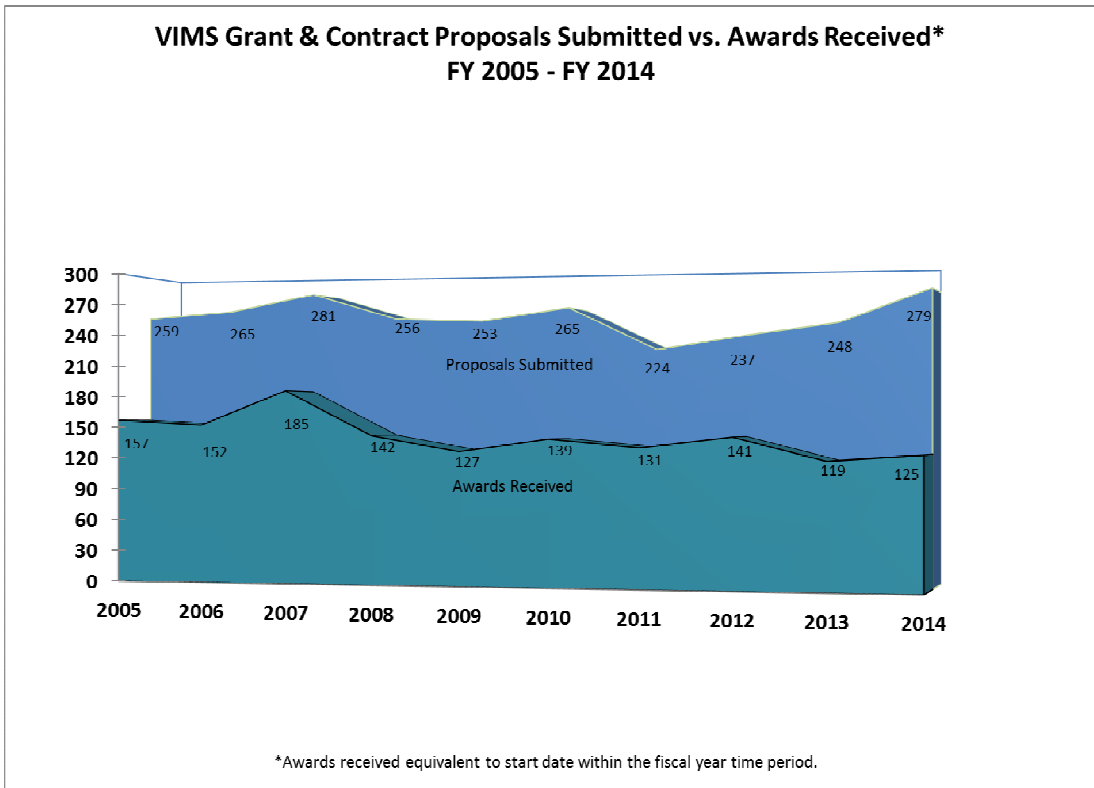


Chart 3

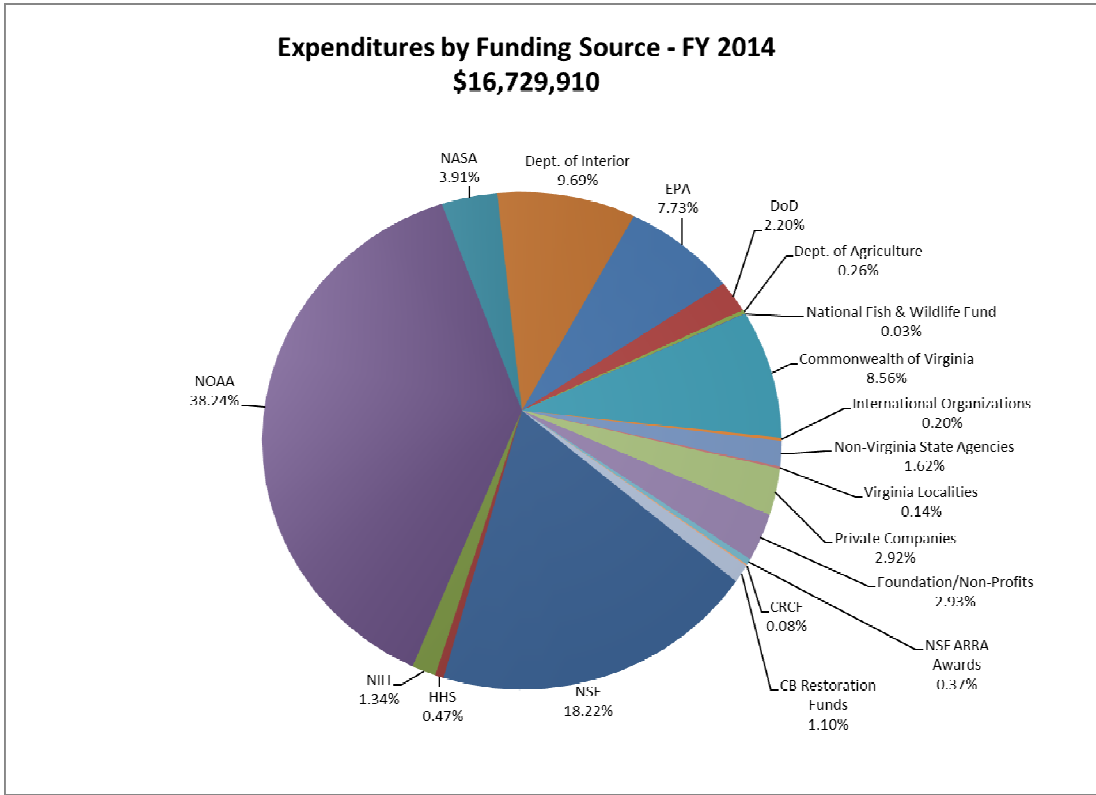


Chart 4

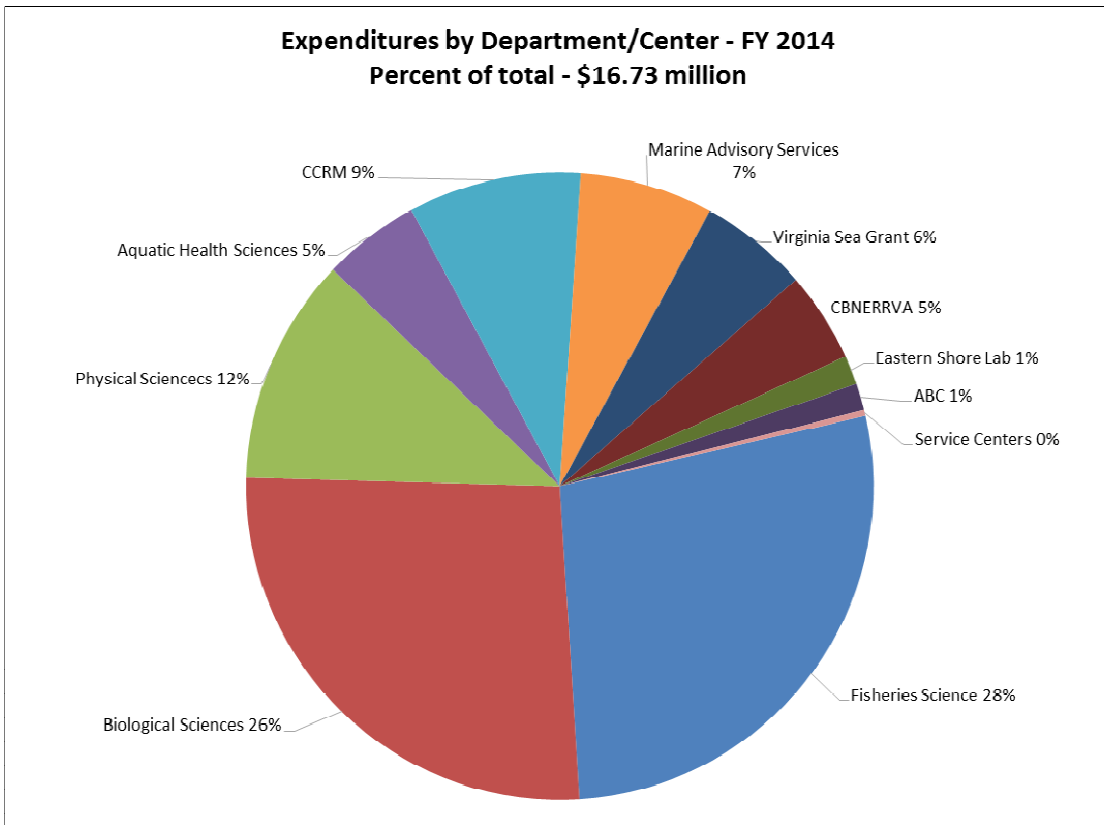


Chart 5

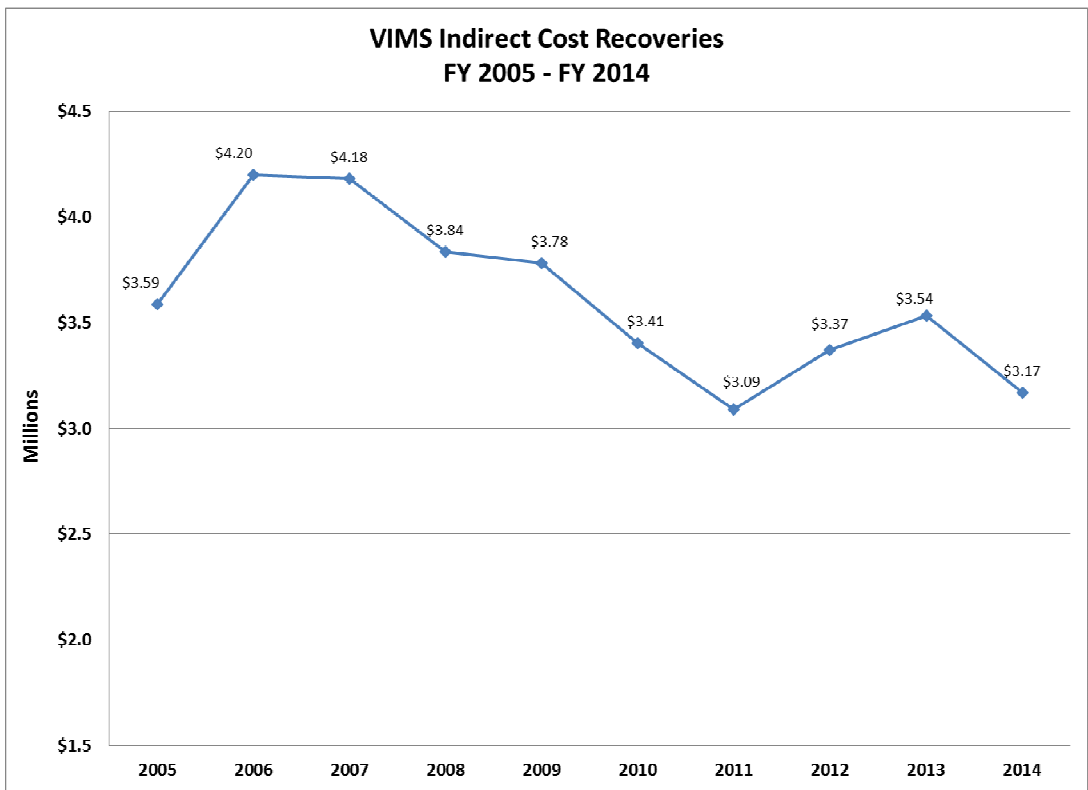


Chart 6

